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For Immediate Release

**Business Development
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New York, NY, April 30, 2013 Aegis Capital is pleased to announce that Roger Baumberger has joined the firm as Senior Managing Director of our Private Equity Banking Group and Chief Operating Officer of SternAegis Ventures

For the past 18 years, Roger was with Spencer Trask Ventures, Inc. where he was Senior Managing Director, Investment Banking. Previously he held senior corporate finance positions at Josephthal & Company, BDO Seidman, Ladenburg Thalmann & Co., The Equitable Life Assurance Society and Marine Midland Bank. Roger's extensive investment banking and corporate finance experience has been focused on private and public emerging growth companies in all sectors including healthcare, technology, software, internet, and telecommunications. Specific areas of professional expertise are private placement of equity and debt, including venture capital and PIPE financings; mergers and acquisitions; initial public offerings; and the valuation of securities and business.

Roger is member of the New York Society of Security Analysts and CFA Institute. Additionally, he is a past president of The Executive Forum and a director of the NYU Alumni Association. His academic credentials include designation as a Chartered Financial Analyst, an M.B.A. with distinction from New York University's Stern School of Business, and an A.B. degree from Dartmouth College.

Aegis Capital's CEO Robert Eide commented: "Roger's unparalleled investment banking experience substantially enhances the capabilities of our Private Equity Banking group. With Roger on board, Aegis is ideally positioned to be the nation's leading firm serving the private equity needs of emerging growth companies."

Aegis Capital's Head of Business Development Phillip Michals commented: "We are delighted to have Roger as a key player on our senior management team. He is widely known as the consummate investment banking professional and Aegis feels fortunate to have his unique talents."

Adam Stern, Head of Private Equity Banking at Aegis Capital, commented: "Roger and I worked as close colleagues at other firms for almost 20 years, so it's a special pleasure that we once again are professionally united. Leveraging Roger's formidable

skill set, Aegis's Private Equity Banking group and SternAegis Ventures are now positioned to be best-in-class in the private equity arena.”

About Aegis - Aegis Capital has been in business for the past 29 years and maintains a conflict free service platform catering to the needs of private clients, institutions and corporations. Aegis Capital Corporation was founded in 1984 by, the current CEO and Chairman. Aegis origins were based on servicing the specific needs of an extremely affluent customer base. Today, we have become a premiere full service investment banking firm with eleven locations and employees stretching from Florida to Rochester, NY. We have clients in all 50 states and overseas. Aegis is a member of FINRA and SIPC has been able to bring quality service through its clearing relationships with RBC and JP Morgan.

Any questions contact
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